



Ujwal Thapa Treatment Funds

Transparency and Disbursement Report

17 July, 2021



WITH GRATITUDE

I am writing to express my and our family's deepest gratitude for all your love, prayers and immense support for my husband Ujwal Thapa's medical treatment when he was sick with Covid-19 in the last two weeks of May, 2021.

Your prayers, words of support and donations set an unparalleled example of kindness when Ujwal and we needed it the most. Though it breaks our heart to say that Ujwal is no longer with us, I know that he would have very much appreciated the spontaneous outpouring of your kindness and generosity. Please accept our heartfelt thanks for your overwhelming generosity. We would also like to thank all the individuals who became a part of our family and stood with us throughout this difficult time. We cannot thank you all enough for the love, empathy, and compassion you bestowed on us.

In the relatively quiet reflective time in the last several weeks, we had an opportunity to go over the donation details. We found that a little over one-third of your financial contributions was ultimately needed to cover Ujwal's medical expenses. We then decided to give away, as Ujwal would have liked, the surplus funds to support more fellow Nepalis who are struggling with Covid-related medical and livelihood difficulties.

To that end, we asked Ujwal's friends and well-wishers, all professionals in their fields, to collect relevant information on credible organizations that were doing much-needed Covid-relief work all across Nepal. After a discussion of their due diligence work and interview notes, we then selected seven organizations that we felt were doing much needed community service work in these pandemic times.

We fondly cherish all the happy and inspiring memories that Ujwal has left behind for us. As you all know, he had a vision for an equitable and just Nepal in which all Nepalis could live up to their full potential. To keep that vision alive and growing, we will soon be setting up a foundation in Ujwal's name to continue the work that he had started. As we embark on that journey, we hope to continue to receive your blessings, love, and support.

With gratitude and affection,

A handwritten signature in black ink, appearing to read 'Erica Adhikary', written in a cursive style.

Ujwal's family
Erica Adhikary (Ujwal's wife)



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Transparency Report

Ujwal Bahadur Thapa's Medical Treatment

Statement of Receipts and Disbursements

For the period May 26 - 13 July 2021

A.	Receipts	Amount (NRs)	Total (NRs)
	Global fund raising (GoFund Me)		3,043,422.88
	Funds raised in Nepal		3,740,062.46
	- Khalti	192,009.48	
	- eSewa	1,268,910.00	
	- Kumari Bank Limited	2,279,142.98	
	Total Receipts		6,783,485.34
B.	Less: Disbursements		
	Treatment Expenses:		1,870,349.46
	- at HAMS Hospital	75,466.00	
	- at Grande Int'l Hospital	501,085.26	
	- Medicity Hospital	1,293,798.20	
	Other Medical Expenses		550,000.00
	Bank/Transfer Charges		110,934.16
	- GoFundMe Fees	106,817.41	
	- Khalti	-	
	- eSewa	-	
	- Wire transfer charge	3,801.75	
	- Other charges	315.00	
	Total Expenditure		2,531,283.62
	Surplus of Receipts over Disbursements		4,252,201.72
	(Total Balance of Funds Available)		

Note 1:

All transactions are recorded on a cash basis i.e. as and when fund are received and disbursed.

Note 2:

Exchange rate of NRs. 118.804812463795 (NRs./USD) has been used for converting the transactions on GoFundMe account, which includes receipts, disbursements of the funds and fees & charges on receipt & transfer of the funds. The exchange rate is obtained from Global IME Bank's website of 13 July 2021, i.e. the date of receipt of the funds in Nepal's bank account via wire transfer from the United States of America.

Note 3:

Total Number of Domestic and International Donors: 2,800+

Lowest Individual Donation: Rs. 10.00

Highest Individual Donation: Rs. 110,345.00

For further questions, please contact: **Mr. Aalok Subedi** at **aalok.subedi88@gmail.com**



The Grantee Organizations

First, a few words on the selection process.

By the second week of June, 2021, it was clear that there was going to be surplus funds. Ujwal Thapa's family's first instinct was to return the surplus funds to the donors. But with about 2,800 individual donors from around the world who had donated money via different online platforms, returning the money to each and every one of them, after accounting for the expenses and online platform fees, turned out to be a logistical impossibility.

And so, in the third week of June, 2021, the family asked some of his friends and associates to help select worthy organizations that could be funded with the surplus money.

The friends formed a working group, and started with a list of 41 entities. They gave considerations to well-established and well-run organizations that had been responsive to Covid-related medical and livelihood challenges of the most vulnerable people in their communities. They conducted online interviews with the organizations to assess for relevance, impact, sustainability, team strength, and geographical, gender and ethnic diversity. After further discussions with the family members, they then selected seven organizations, and helped decide on the allocation of the surplus funds. Finally, they assigned a liaison person to each of the grantee organization. These liaison people will monitor the use of the funds, and publicly report back, in three to six months' time, about the outputs and outcomes created by the grants.

The background research / due diligence questions: bitly.com/UTscreening

The seven grantee organizations are as follows:

Bhore Nepal

Website: www.bhorenepal.org

Facebook: www.facebook.com/nepalbhore

Contact person: Mr. Rajkumar Mahato

Email: nepalbhore@gmail.com

Phone: 984- 405-9173



Bhore Nepal is a campaign-focused non-profit organization working, among others, for the upliftment of Musahar community members, who are among the most vulnerable communities in the Terai. In 2020, Bhore ran Covid-relief



activities to provide food packages and medical services to the poor, especially to pregnant women. Bhore was formally registered with District Administration Office in Dhanusha. It was affiliated with Social Welfare Council (SWC) in 2009. It has a national office in Kathmandu in Tilganga, provincial office in Janakpur, Province 2, and local level office in Chandrangar Rural Municipality in Sarlahi, Province 2.

Bhore intends to make use of the Ujwal Thapa Memorial Grant to distribute food and medical packages to pregnant and post-natal women in Sarlahi district.

Grant size: NRs. 2,50,000 (Two Lakh Fifty Thousand Rupees)

Working area: Sarlahi, Province 2

Family liaison person to monitor the output and the outcome of the grant:
Mr. Ramesh Paudyal

Heartbeat

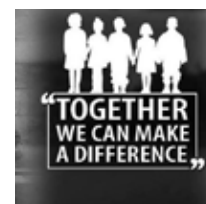
Facebook: <https://www.facebook.com/heartbeatnp>

Contact persons: Mr. Juju Kaji, Founder;

Ms. Prakriti Shrestha, Project Manager

Email: kajijuju@hotmail.com

Phone: 985-105-0299



Heartbeat was established as an NGO in 2006 in Kathmandu. It mobilizes youth to conduct activities that help reduce hunger and livelihood-related difficulties among the urban poor.

In recent years, it has made a name for itself by being among the first NGOs that speedily responds with relief materials in times of disasters. In June 2021, it was quick to provide food and medicines to the victims in the flood-devastated Melamchi area. In 2020-21, Heartbeat distributed meals to daily wage-laborers who had lost all means of livelihood support. It also turned many such women into small-scale entrepreneurs, by creating a group called Women-on-Wheels, a community of female traders who sell vegetables, fruits, clothes, and the like by the roadside on their *thela*.

Heartbeat intends to make use of the Ujwal Thapa Memorial Grant as a top-up resource to provide food relief to 40 women-on-wheels community members in the Lalitpur area. These women, who are often the sole breadwinners of their families, have lost their income in these pandemic times.



Grant size: NRs. 200,000.00 (Two Lakh Rupees)

Working area: Urban Lalitpur, Bagmati Province

Family liaison person to monitor the output and the outcome of the grant:

Mr. Biraj Bhakta Shrestha

Madhesh Human Rights Home (Mahuri Home)

Website: mahurihome.org.np

Facebook: <https://www.facebook.com/mahuri.home>

Contact person: Mr. Ravindra Nath Thakur, Chairperson

Email: mahurihome@gmail.com, info@mhrh.org.np

Phone: 076-560-605; 985-702-2038



Madhesh Human Rights Home (Mahuri Home) was founded in 2008 with the commitment to empower the underprivileged, the socially excluded and the oppressed, including the indigenous and minority populations in and around Kapilvastu district. This organization is an outcome of collective and collaborative initiation of a dynamic team comprising of experts in the areas of human rights, media, Dalit and women empowerment, non-violent conflict transformation and peace education, and was founded in the aftermath of violent riots in Kapilvastu due to assassination of Mohid Khan in 2007.

In 2020-21, it reoriented its work to reduce the adverse social effects of Covid: disposing of the dead bodies, sending the sick to the ICUs of local hospitals, procuring oxygen cylinders, and distributing clothes and stationery to children who could not go to school.

Mahuri Home intends to make use of the Ujwal Thapa Memorial Grant as top-up resource to serve the Natuwas -- a hitherto neglected nomadic tribe in Kapilvastu. Working with the local palika for additional funds, it will provide necessary clothes and stationery to about 200 Natuwa families.

Grant size: NRs. 2,02,201.72 (Two Lakh Two Thousand Two Hundred One Rupees and Seventy Two Paisa)

Working area: Kapilvastu, Lumbini Province

Family liaison person to monitor the output and the outcome of the grant:

Ms. Ranju Darshana



Nepal Critical Care Development Foundation (NCCDF)

Website: <http://nccdfnepal.org.np/>

Facebook: <https://www.facebook.com/nccdf>

Contact person: Mr. Samrat Acharya, Manager

Email: samratacharya26@gmail.com

Phone: 985-110-1951

Nepal Critical Care Development Foundation (NCCDF) was established in 2012 as a not-for-profit organisation. Though it is based in Kathmandu, it works all over the country. Its objective is to develop critical care medical service in Nepal, and help upgrade ICU services in the country. To that end, it offers continuing medical education and training programs such as Infection Prevention and Control, CPR for Nurses, Tracheostomy Care, and the like to nurses so that their skills are upgraded to take better care of critically ill patients. In 2020-21, NCCDF distributed 72 oxygen concentrators to public hospitals, provided PPEs and masks, and trained nurses and doctors on advanced critical care methods.

NCCDF intends to make use of the Ujwal Thapa Memorial Grant to provide scholarships to needy nurses at government-run hospitals in Sudurpaschim Pradesh to attend two-day training on professional skills upgrade courses such as ICU management, ventilator usage, respiratory care, and communications with patients.

Grant size: NRs. 5,00,000 (Five Lakh Rupees)

Working area: Sudurpaschim Pradesh

Family liaison person to monitor the output and the outcome of the grant:

Ms. Sumitra Gurung

National Innovation Centre (NIC)

Website: nicnepal.org

Contact person: Ms. Mira Pokhrel, CEO

Email: mira@nicnepal.org

Phone: 985-110-1773



National Innovation Centre (NIC) was established in 2012 as a not-for-profit organization. Led by Magsaysay Award winner, Dr. Mahabir Pun, its offices are in Kirtipur. NIC's mission is to help stop brain drain by providing an array of support services to Nepali innovators and entrepreneurs. At present, NIC runs projects in fields such as health care, urban transport, artificial intelligence, IT and



agriculture. In 2020, NIC responded to the Covid crisis by making and distributing PPEs and safety gear to front-line health workers, repairing ventilators, and constructing and installing mini-oxygen plants in rural hospitals.

NIC intends to make use of the Ujwal Thapa Memorial Grant to make and install three mini-oxygen plants in rural hospitals, with a focus on Pradesh 1 and Gandaki Pradesh. Since each plant costs around 19 lakh, NIC will work in collaboration with respective hospitals and municipalities, and may use some of its own funds to cover the shortfall. For transparency reports of its projects, please visit: <https://nicnepal.org/projects/>

Grant size: 15,00,000 (Fifteen Lakh Rupees) Working area: Province 1 and Gandaki Province

Family liaison person to monitor the output and the outcome of the grant:
Mr. Govind Narayan

Oda Foundation

Website: <https://www.odafoundation.org/>

Facebook: <https://www.facebook.com/OdaFoundation>

Contact persons: Mr. Karan Singh, Co-Founder; Ms. Mona Aditya, CEO

Email: info@odafoundation.org

Phone: 986-016-4331; 974-136-1111



Named after the village of Oda in Kalikot, Oda Foundation was set up as a government-registered NGO in 2013 in Karnali Province. It has a 501(c) 3 arm in the US, which publicizes its community development work, and raises funds from the Nepali diaspora and beyond. It has long been involved in the field of healthcare, education and community mobilization. In 2020 and 2021, in response to Covid in Kalikot, where many are cross-border migrant workers, it worked closely with the local government to set up and run an isolation center, conducted preventive activities and provided food for work opportunities.

With additional support from the local government, Oda Foundation intends to make use of the Ujwal Thapa Memorial Grant to build a two-room in-patient center, from where medical advice and health services will be dispensed to the needy.



Grant size: NRs. 10,00,000 (Ten Lakh Rupees)

Working area: Kalikot, Karnali Pradesh

Family liaison person to monitor the output and the outcome of the grant: Ms. Karma Lama Tamang

Sano Paila

Website: www.sanopaila.org

Facebook: www.facebook.com/sanopaila

Email: sanopaila@gmail.com; jai@sanopaila.org

Contact person: Jai Sah, Head of Operations

Phone: 981-421-3130; 984-560-0750



Sano Paila was established in 2006. It has a track record of successfully managing community issues often ignored by the government, private sector, and other NGOs. Its areas of work span from youth empowerment, drug rehabilitation, health improvement and advocacy, peacebuilding, crime prevention and reformation, anti- trafficking and child protection, access to education, livelihood enhancement to crisis response.

For crisis response, in the first and the second Covid wave, Sano Paila played an important role by responding in a multi-pronged manner to best serve the needs of the most vulnerable by serving hot meals daily to day-laborers, Dalit and other disadvantaged community members in Province-2 and Sudur Paschim Province. It also distributed meals to returnee migrants at the borders in Parsa and Mahottari; provided nutritional meals to Covid patients in Parsa and Dhanusha districts; initiated an integrated help-desk to provide emergency supplies during the lockdown; ran three isolation centers; and, provided PPEs, testing booths and ventilators to the government hospitals in Province-2. It also started a free telemedicine service for Covid patients.

Sano Paila intends to make use of the Ujwal Thapa Memorial Grant to cover the scale-up expenses of the telemedicine service for six months. This scale-up will serve people across Nepal by employing doctors and paramedical professionals who provide up-to-date healthcare information in these pandemic times.

Grant size: NRs. 6,00,000 (Six Lakh Rupees)

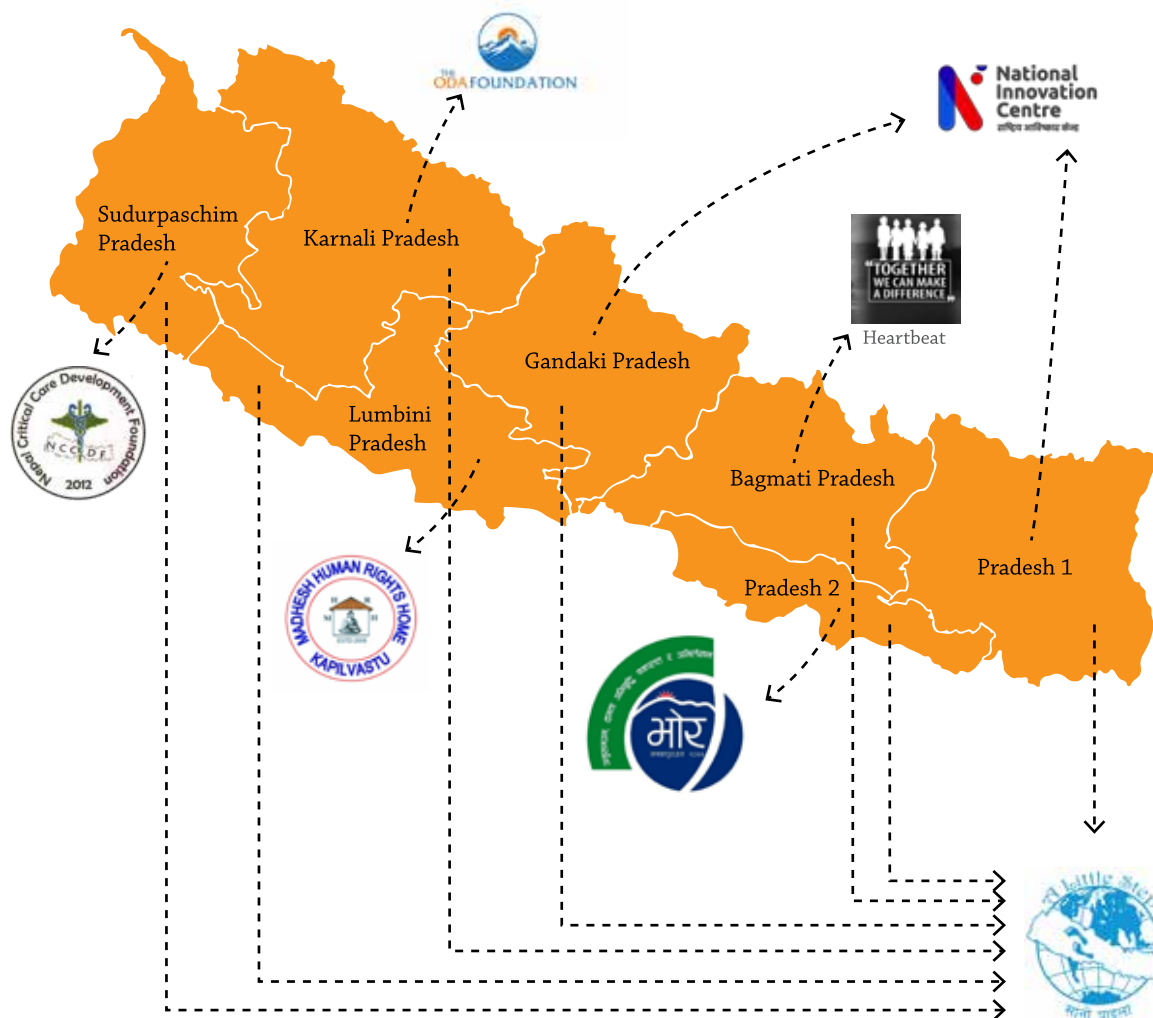
Working area: Telemedicine services for Nepal, but based in Birgunj, Pradesh 2.

Family liaison person to monitor the output and the outcome of the grant: Mr. Aalok Subedi



Total surplus funds: Nrs. 4,252,201.72 = Total grants given out to the seven organizations working all over Nepal: Nrs. 4,252,201.72

Grant Allocation by Geography



Pradesh 1:

Nepal Innovation Center to install mini-oxygen plants in a rural hospital, with additional funding leveraged from the area institutions and NIC's own funding source.

Grant size: 15,00,000 (Fifteen Lakh Rupees)

Pradesh 2:

Bhore Nepal for distribution of food and medical packages to pregnant and post-natal women in Sarlahi district.

Grant size: NRs. 2,50,000 (Two Lakh Fifty Thousand Rupees)

Bagmati Pradesh:

Heartbeat for the provision of food relief to 40 women-on-wheels community



members in the Lalitpur area

Grant size: NRs. 200,000 (Two Lakh Rupees)

Gandaki Pradesh:

Nepal Innovation Center to install mini-oxygen plants in a rural hospital, with additional funding leveraged from the area institutions and NIC's own funding source.

Grant size: 15,00,000 (Fifteen Lakh Rupees)

Lumbini Pradesh:

Madhesh Human Rights for service to the Natuwas -- a hitherto neglected nomadic tribe in Kapilvastu, to provide 300 families with necessary clothes and stationery, in collaboration with the local palika.

Grant size: NRs. 2,02,201.72 (Two Lakh Two Thousand Two Hundred One Rupees and Seventy Two Paise)

Karnali Pradesh

Oda Foundation to build a two-room in-patient center in Kalikot to dispense medical advice and health services to the needy, especially to women and cross-border migrants.

Grant size: NRs. 10,00,000 (Ten Lakh Rupees)

Sudurpaschim Pradesh:

Nepal Critical Care Development Foundation (NCCDF) for the provision of providing scholarships to needy nurses at government-run hospitals in Sudurpaschim Pradesh to attend two-day training on professional skills upgrade courses such as ICU management, ventilator usage, respiratory care, and communications with patients.

Grant size: NRs. 5,00,000 (Five Lakh Rupees)

All-Nepal reach

Sano Paila for the scale-up of the telemedicine service to serve people across Nepal by employing doctors and paramedical professionals who provide up-to-date healthcare information, including Covid-mitigation advice.

Grant size: NRs. 6,00,000 (Six Lakh Rupees)



Grant Monitoring Sheet

(This is a template, a copy of which will be filled in by the designated liaison officers at the end of the grant period, and the results will be reported back to the public in three to six months' time from mid-July, 2021)

Grantee Organization _____

Contact person _____

Phone number _____

Email _____

Website _____

What was the Ujwal Thapa Memorial Grant for?

Please give details of the activities carried out with support from the grant.



Please list the outputs and describe the outcomes achieved with as much detail as possible.

Empty text box for listing outputs and outcomes.

Please share the learning, and feedback for future improvement of the work.

Empty text box for sharing learning and feedback.

Organization

Date

Liaison Officer



Memorandum of Understanding

This Memorandum of Understanding (“MoU”) is hereby made and entered into by and between Ms. Erica Adhikary, who is the wife of late Mr. Ujwal Thapa (hereinafter referred to as “Grantor”) having her residential address at Kathmandu Metropolitan City, Ward No., _____ House, _____ Janmarg, _____ and _____ (NAME OF THE ORG _____), which has its registered office in _____ district in _____ Province (hereinafter referred to as “Organization”).

Each the "Party" and together the "Parties".

Whereas, it is mutually understood and agreed upon by and between the Parties that the purpose of this MoU is to utilize a portion of the surplus funds (that is, the remainder funds after the deduction of total donated amount used for the medical treatment of late Mr. Ujwal Thapa in May-June 2021) for the deliverable of:

Whereas, the Organization is a reputed, responsible and publicly accountable entity, and has no previous bad record or has never been black-listed by any regulatory authority in the five years, and has due respect towards the values and reputation of Ujwal Thapa and the Grantor.

Whereas, Grantor agrees to provide a fund of NRs _____ (in words: _____) to the Organization as a lump sum grant (“Grant”) for work based on the discussion the Grantor and its representatives have had with the Organization in the months of June and July 2021, and as described below;

Terms and Conditions:

1. Submission of the Documents:

The organization must submit the following documents at the earliest:

- a. Certificate of Registration
- b. Copy of the PAN certificate issued by the IRD
- c. A certificate of tax clearance of the most recent fiscal year

2. Scope of utilization of the Grant: The Organization agrees to

- a. _____
- b. _____
- c. _____

3. Transparency:

- a. The Grantor shall appoint a Liaison Officer, who will be in close touch with the organization.
- b. The Organization shall work with the liaison officer to publish a short monitoring report in three to six months’ time from the signing of this contract.
- c. The Organization shall provide required expense-information, appropriate



audio-photo-visual and print documentation of the work carried out with support of the fund.

d. The Organization shall publicly display the relevant Financial and Social Audit information on their organization website or other relevant social media site.

4. Leverage:

The Organization may use this Grant to leverage additional funds from its own sources and/or from other funding entities to scale up the impact of the work undertaken under this MoU.

5. Obligations of the Organization:

a. The Organization shall be transparent as to the use of the Grant and cooperate with the Liaison Officer by providing the necessary details and documents mentioned in Clause 3 of this MoU.

b. The Organization shall not use this Grant for personal advertisement or personal benefit.

c. The Organization shall not delegate the Grant utilization or transfer funds to another organization.

d. If the Organization is unable to utilize the Grant or if the Grantor finds that Organization is unable to utilize the Grant for whatsoever reasons then the Organization shall return the Grant to the Grantor. However, if the Grant or part thereof is already utilized then the Organization shall submit a separate report as per Clause 3 (a) to the extent of utilize Grant.

6. Rights of the Grantor:

a. The Grantor shall have the right to ask for information regarding the details mentioned in Clause 3 this Agreement.

b. The Grantor shall have the right to demand the return of the Grant Amount if she deems that the Grantee has not been complying with the terms of this Agreement.

7. Other:

a. Entire Agreement: This Agreement constitutes the entire and complete agreement between the Parties hereto and supersedes any prior oral or written agreements between the parties. It is expressly agreed that there are no verbal understanding or agreements which in any way change the terms, covenants and conditions herein set forth, and that no modification of this Agreement and no waiver of any of its terms and conditions shall be effective unless made in writing and duly executed by the Parties hereto.

b. Binding Effect: This Agreement and all covenants, terms and provisions contained herein shall be binding upon and inure to the benefit of the Parties and their respective successors and assigns.

c. Counterparts: This Agreement may be executed in one or more counterparts which together shall constitute one and the same Agreement and shall be binding on the Parties.



d. Assignment or Subcontracting: Either Party shall not transfer, assign or sub-contract its rights or obligations under this Agreement to third parties without written consent of the other Party.

e. Amendment: This Agreement constitutes a legally binding obligation between both parties hereto. No changes, alteration or modifications hereto shall be effective unless it is in writing, refers to this Agreement and is duly executed by each party to this Agreement.

f. Cooperation: The Parties shall cooperate with each other and provide assistance required for carrying out the Services under the Agreement.

g. Notice: Any notice to either party shall be in telex, or electronic mail or any other medium as determined by the Grantor:

If to Grantor:

Name:

Address:

Attn:

Phone No:

Email:

If to Grantee:

Name:

Address:

Attn:

Phone No:

Email:

(Grantor)

Name:

Designation:

Contact No:

(The Recipient Organization)

Name:

Designation:

Contact No:

(Witness)

Name:

Designation:

Contact No:

(Witness)

Name:

Designation:

Contact No:



Acknowledgements

In addition to about 2,800 individual donors from around the world and all other supporters who called, prayed, emailed, posted messages and lent support, Ujwal Thapa's family sincerely thanks the following friends, well-wishers and professionals:

- All the critical-care doctors, nurses and hospital administrators at HAMS, Grandee International and Medicity Hospitals.
- Chartered Accountant Mr. Shashi Saytal, Managing Partner at PKF T R Upadhya & Co. and his CA colleague Mr. Supreme Mandal for providing guidance (pro bono) on the accounting process of the funds.
- Mr. Kiran Sitoula, CPA, for providing an additional layer of scrutiny on the record-keeping and on the accounting process of the collected and the disbursed funds.
- Mr. Anup Upreti and Ms. Prakritee Yonzon of Pioneer Law Associates for providing guidance (pro bono) on the grantee contract documents.
- Mr. Aalok Subedi, Mr. Milan Pandey, Ms. Ranju Darshana, Mr. Santosh Giri, Mr. Dharendra Kumar Shah, Ms. Sumitra Gurung, Mr. Ramesh Paudyal, Mr. Bijaya Babu Shiwakoti, Mr. Manan Maharjan, Mr. Bhoopdev Shah, Mr. Hemendra Bohra, Mr. Krishna Chettri, Mr. Upendra Bom, Mr. Arjun Singh Thakuri, Ms. Hima Bista, Mr. Govind Narayan, Mr. Shashi Bikram Karki, Mr. Abhishek Basnyat, Ms. Tripti Giri, Ms. Bhawana Raut, Mr. Dibyesh Giri, Mr. Anish Subedi, Ms. Sadhana Thulung Rai, Mr. Pukar Bam, Mr. Sanjay Rimal, Ms. Karma Lama Tamang, Ms. Subuna Basnet, Mr. Biraj Bhakta Shrestha, Ms. Nisha Thapa, Mr. Rabindra Mishra, Mr. Ashutosh Tiwari and countless, countless others whom the family cannot thank enough for your generosity, kindness and support in times of need.